

ANRF-Notification

1 message

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Mon, Mar 3, 2025 at 12:05
PM

To: support@anrfonline.in

Anusandhan National Research Foundation**Closure acknowledgement to the Convener / PI**

Anusandhan National Research Foundation (ANRF)

(A statutory body created by an Act of Parliament - ANRF Act, 2023)

ANRF

3rd & 4th Floor, Block II

Technology Bhavan, New Mehrauli Road

New Delhi - 110016

File Number: EMR/2016/007125Dated: 03-Mar-2025Subject: Project titled " **Development of Integrable, Electronically tunable Fractional-order Capacitance (Fractance) and its applications** "

Dear Dr. FAROOQ AHMAD KHANDAY

Anusandhan National Research Foundation has received the required financial documents and closure report of the above-cited project and the same have been accepted. Hence, this file is closed officially. The Closure report i.e. the research work carried out in the project was graded as "Very Good".

Yours sincerely,

(Dr. Anima Johari)

Scientist E

Ph.

Email: anima.j@anrf.gov.in**Dr. FAROOQ AHMAD KHANDAY**

Kashmir University , Hazratbal Rd, Hazaratbal, Srinagar, Jk, Srinagar, Jammu And Kashmir-190006

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Annexure-II

REQUEST FOR ANNUAL INSTALMENT WITH UP-TO-DATE STATEMENT OF EXPENDITURE

1. SERB Sanction Order No and date : EMR/2016/007125 Date: 26-07-2017
2. Name of the PI : Dr. Farooq Ahmad Khanday
3. Total Project Cost : Rs. 53,94,928.00
4. Revised Project Cost (if applicable) : Rs. Nil
5. Date of Commencement : 24th -August-2017
6. Statement of Expenditure : 2020-21
(Month wise expenditure incurred during current financial year)

| Month & year | Expenditure incurred/ committed |
|--|---------------------------------|
| 1 st April 2020 To 33 rd August 2020 | Rs. 6,12,821.00 |

1. Grant received in each year:
 - a. 1st Year : Rs. 31,68,080.00
 - b. 2nd Year : Rs. 7,00,000.00
 - c. 3rd Year : Rs. 9,50,000.00
 - d. Interest, if any : Rs. 1,34,594.00
 - e. Total (a+b+c+d) : Rs. 49,52,674.00

Dr. Farooq Ahmad Khanday
Principal Investigator
SERB - DST PROJECT
EMR/2016/007125

27/11/21

Statement of Expenditure

(Submitted for the financial year 1st April 2020 to 23rd August of that financial year 2020-21)

| S.NO | Sanctioned Heads | Total Funds Allocated (Indicate Sanctioned or revised) | Expenditure Incurred | | | | Total Expenditure Incurred | Balance as on 23rd August 2020 | Requirement of Funds | Remarks |
|------|------------------|--|--|--|--|---|----------------------------|--------------------------------|----------------------|--|
| | | | 1st Year (DOS*24th August 2017 To 31st March 2018) | 2nd Year (1st April 2018 To 31st March 2019) | 3rd Year (1st April 2019 To 31st March 2020) | 4th Year (1st April 2020 To 23rd August 2020) | | | | |
| I | II | III | IV | V | VI | VII | VIII=IV+V+VI+VII | IX=III-VIII | X | XI |
| 1 | Manpower | ₹ 2,246,400.00 | ₹ 327,096.00 | ₹ 720,000.00 | ₹ 720,232.00 | ₹ 438,791.00 | ₹ 2,206,119.00 | ₹ 40,281.00 | ₹ 359,023.00 | The arrears on account of enhancement in salary for JRF's as applicable from Jan 2019 as per Order SR/S9/ Z-08/2018 issued by DST, Ministry of Science and Technology, GOI (@ Rs. 35960/month for first two years and @ Rs. 40600/month for last year). The duly signed due-drawn statement for this purpose has already been submitted on the portal. |
| 2 | Consumables | ₹ 190,000.00 | ₹ 30,000.00 | ₹ 68,890.00 | ₹ 37,445.00 | ₹ 50,158.00 | ₹ 186,493.00 | ₹ 3,507.00 | ₹ 26,493.00 | |
| 3 | Travel | ₹ 150,000.00 | ₹ 37,000.00 | ₹ 48,365.00 | ₹ 43,155.00 | ₹ 16,990.00 | ₹ 145,510.00 | ₹ 4,490.00 | ₹ 12,510.00 | |
| 4 | Contingencies | ₹ 150,000.00 | ₹ 49,849.00 | ₹ 64,796.00 | ₹ 23,089.00 | ₹ 11,244.00 | ₹ 148,978.00 | ₹ 1,022.00 | ₹ 3,978.00 | |
| 5 | Others, if any | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | |
| 6 | Equipment | ₹ 2,168,080.00 | ₹ - | ₹ 2,125,500.00 | ₹ - | ₹ - | ₹ 2,125,500.00 | ₹ - | ₹ - | The balance amount has been refunded to the SERB. |
| 7 | Overhead | ₹ 490,448.00 | ₹ 163,000.00 | ₹ 175,000.00 | ₹ 56,810.00 | ₹ 95,638.00 | ₹ 490,448.00 | ₹ - | ₹ 95,638.00 | |
| 8 | Total | ₹ 5,394,928.00 | ₹ 606,945.00 | ₹ 3,202,551.00 | ₹ 880,731.00 | ₹ 612,821.00 | ₹ 5,303,048.00 | ₹ 49,300.00 | ₹ 498,642.00 | |

Note: the above statement includes the committed ^{liability} expenditure for the period 1st april 2020 to 23rd august 2020 towards manpower of Rs.4,38,791/- (includes the areas of Mr.Mujtaba kathjoo of Rs.59,392/- and Mr Gul Faroz Ahmad Malik of Rs. 60,741/- Statement enclosed), Consumables Rs.50,158/-, Travel Rs. 16,990/-, Contingencies Rs.11,244/- and overhead Rs. 95,638/-

Name and Signature of Principal Investigator:

Date: 27/08/2021
Principal Investigator

Signature of Competent Financial Authority

(With Seal) (J.R. Accounts)
University of Kashmir, Srinagar

Date: _____

* DOS - Date of Start of project: 24th August 2017

Note: EMR/ 2016/ 007125

- Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, without prior approval of SERB i.e. Figures in Column (VIII) should not exceed corresponding figures in Column (III)
- Utilization Certificate (Annexure III) for each financial year ending 31st March has to be enclosed along with request for carry-forward permission to the next financial year.

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Dean Research
University of Kashmir

NON RECURRING

GFR 12 – A

[(See Rule 238 (1))]

UTILIZATION CERTIFICATE (UC) FOR THE YEAR 2020

in respect of *NON-RECURRING*

as on 23rd August 2020 submitted to SERB

the UC is (*Audited*)

(Submitted for the financial year from 1st April 2020 to 23rd August 2020)

1. Name of the grant receiving Organization: **University of Kashmir, Srinagar**
2. Name of Principal Investigator(PI): **Dr. Farooq Ahmad Khanday**
3. SERB Sanction order no. & date: **No. EMR/2016/007125 Dated- 26-07-2017**
4. Title of the Project: **“Development of Integrable, Electronically tunable Fractional-order Capacitance (Fractance) and its applications”**
5. Name of the SERB Scheme : **EMR**
6. Whether recurring or non-recurring grants : **Non Recurring**
7. Grants position at the beginning of the Financial year (Grants released by SERB)

(i) Cash In Hand/Bank /Carry forward from previous financial year: **Rs. Nil**

(ii) Others, If any: **Rs. Nil**

(iii) **Total: Rs. Nil**

8. Details of grants received, expenditure incurred and closing balances: (Actuals)

| Unspent Balance of Grants received previous years [figure as at Sl. No. 7(iii)] | Interest Earned During the period | Interest deposited back to the SERB | Grants received during the year | | | Total Available Funds (1+2-3+4) | Expenditure incurred (Including Committed Expenditure) | Closing Balances (5-6) |
|---|-----------------------------------|-------------------------------------|---------------------------------|-----------|--------------|---------------------------------|---|------------------------|
| | | | Sanction No. (i) | Date (ii) | Amount (iii) | | | |
| 1 | 2 | 3 | 4 | | | 5 | 6 | 7 |
| - | - | - | EMR/2016/007125 | - | - | - | - | - |

Component wise utilization of grants:

| Grant-in-aid- creation for assets | Total |
|-----------------------------------|-------|
| - | - |

Details of grants position at the end of the year

- (i) Cash in Hand/ Bank : **Rs. Nil**
- (ii) Refund to SERB, if any : **Rs. Nil**
- (iii) Balance (Carry forward to next financial year 2020-21) : **Rs. Nil**

Signature of PI

Dr. Farooq Ahmad Khanday
Principal Investigator
SERB- DST PROJECT
EMR/ 2016/ 007125

Signature with Seal

Name:

Chief Finance Officer

(Head of Finance)

(JR, Accounts) Sgr.
University of Kashmir

Signature with Seal

Name:

Head of Organisation

Dean Research

University of Kashmir

Signature of Chartered Accountant



NON RECURRING
GFR 12 – A
[(See Rule 238 (1))]
UTILIZATION CERTIFICATE (UC) FOR THE YEAR 2020
in respect of NON-RECURRING
as on 23rd August 2020 submitted to SERB
the UC is (Audited)
(Submitted for the financial year from 1st April 2020 to 23rd August 2020)

Certified that I have satisfied that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under “EMR” (Name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure– I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure – II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure –II (to be formulated by the Ministry/Department concerned as per their Requirements /specifications).

Date: 27-8-20
Place: Srinagar

Signature of PI :

Signature with Seal.....

Name:

Chief Finance Officer

(Head of Finance)

Signature with Seal.....

Name:

Head of Organisation

Signature of
Chartered Accountant

Dr. Farooq Ahmad Khanda
Principal Investigator
SERB- DST PROJECT
EMR/ 2016/ 007125

Signature with Seal.....
Name:

Chief Finance Officer
(Head of Finance)

Director (Accounts)

University of Kashmir

Head of Organisation

Dean Research

University of Kashmir

Signature of
Chartered Accountant



RECURRING
GFR 12 – A
 [(See Rule 238 (1))]
UTILIZATION CERTIFICATE (UC) FOR THE YEAR 2020
in respect of RECURRING
as on 23rd August 2020 submitted to SERB
 the UC is *(Audited)*
(Submitted for the financial year from 1st April 2020 to 23rd August 2020)

1. Name of the grant receiving Organization: **University of Kashmir, Srinagar**
2. Name of Principal Investigator(PI): **Dr. Farooq Ahmad Khanday**
3. SERB Sanction order no. & date: **No. EMR/2016/007125 Dated- 26-07-2017**
4. Title of the Project: **“Development of Integrable, Electronically tunable Fractional-order Capacitance (Fractance) and its applications”**
5. Name of the SERB Scheme : **EMR**
6. Whether recurring or non-recurring grants : **Recurring**
7. Grants position at the beginning of the Financial year (Grants released by SERB)

- (i) Cash In Hand/Bank /Carry forward from previous financial year: **Rs. 1,54,489.00**
- (ii) Others, If any: **Rs. Nil**
- (iii) **Total: Rs. 1,54,489.00**

8. Details of grants received, expenditure incurred and closing balances: (Actuals)

| Unspent Balance of Grants received previous years [figure as at Sl. No. 7(iii)] * | Interest Earned During the period | Interest deposited back to the SERB | Grants received during the year | | | Total Available Funds (1+2-3+4) | Expenditure incurred (Including Committed Expenditure) | Closing Balances (5-6) |
|---|-----------------------------------|-------------------------------------|---------------------------------|-----------|--------------|---------------------------------|---|------------------------|
| | | | Sanction No. (i) | Date (ii) | Amount (iii) | | | |
| 1 | 2 | 3 | 4 | | | 5 | 6 | 7 |
| 1,54,489.00 | 4,406.00 | - | EMR/2016/007125 | - | - | 1,58,895.00 | 6,12,821.00 | (-4,53,926.00) |

Component wise utilization of grants:

| Grant-in-aid- General | Total |
|-----------------------|-------------|
| 6,12,821.00 | 6,12,821.00 |

Details of grants position at the end of the year

- (i) Cash in Hand/ Bank : **Rs. (-4,53,926.00)**
- (ii) Refund to SERB, if any : **Rs. Nil**
- (iii) Balance (Carry forward to next financial year 2020-21) : **Rs. (-4,53,926.00)**

Signature of PI
 Farooq Ahmad Khanday
 Principal Investigator
 SERE- DST PROJECT
 EMR/ 2016/ 007125

Signature with Seal
 Director Finance
 Name:
 Chief Finance Officer
 (Head of Finance)
 University of Kashmir, Sg. Baramulla

Signature with Seal
 Name:
 Head of Organisation
 University of Kashmir

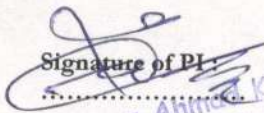
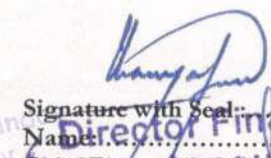
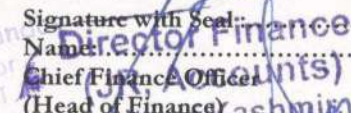
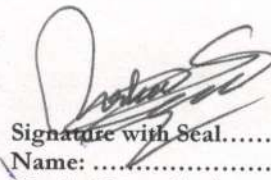
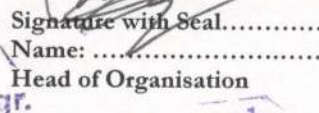

Signature of
 Chartered Accountant
 J. Jan & Associates
 Chartered Accountants

RECURRING
GFR 12 - A
[(See Rule 238 (1))]
UTILIZATION CERTIFICATE (UC) FOR THE YEAR 2020
in respect of *RECURRING*
as on 23rd August 2020 submitted to SERB
the UC is (*Audited*)
(Submitted for the financial year from 1st April 2020 to 23rd August 2020)

Certified that I have satisfied that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under "EMR" (Name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure- I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure - II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure -II (to be formulated by the Ministry/Department concerned as per their Requirements /specifications).

Date: 27-01-2021
Place: Srinagar

Signature of PI: 
Signature with Seal: 
Name: 
Chief Finance Officer
(Head of Finance)
Signature with Seal: 
Name: 
Head of Organisation
Signature of Chartered Accountant: 

Dean Research
University of Kashmir

Dr. Farooq Ahnig Khan
Principal Investigator
SERE- DST PROJECT
EMR/ 2016/ 007125



GFR 12 – A
[(See Rule 238 (1))]

**FORM OF UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE
ORGANIZATION**

PROVISIONAL UTILIZATION CERTIFICATE FOR THE PERIOD OF 01-04-2024 TO 30-09-2024 in respect
of non-recurring
GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Project /Scheme : "Design and Implementation of novel hybrid Watermarking algorithms, for copyright protections of Cultural Artefacts, with special reference to IPR protections of Kashmiri Folk Songs and Heritage Imagery"
2. Whether recurring or non-recurring grants. **Recurring**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank : **Rs. 2,67,448.00**
 - (ii) Unadjusted advances : **Rs. Nil**
4. Total : **Rs. 2,67,448.00**
5. Details of grants received, expenditure incurred and closing balances:(Actual)

| Unspent Balances of Grants received years [figure as at Sl. No. 3 (ii)] | Interest Earned thereon | Interest deposited back to the Government | Grant received during the year | | | Total Available funds (1+2-3+4) | Expenditure incurred | Closing Balances (5-6) |
|---|-------------------------|---|---------------------------------|-----------|--------------|---------------------------------|----------------------|------------------------|
| | | | Sanction No. (i) | Date (ii) | Amount (iii) | | | |
| 1 | 2 | 3 | 4 | | | 5 | 6 | 7 |
| 2,67,448.00 | - | - | DST/TDT/ SHRI- 33/2018(G) | - | 2,67,448.00 | 2,67,448.00 | 1,04,622.00 | 1,62,826.00 |

Component wise utilization of grants:

| Grant-in-aid- General | Grant-in-aid- Salary | Grant-in-aid-creation of capital assets | Total |
|--------------------------|-------------------------|--|-------------|
| 1,04,622.00 | - | - | 1,04,622.00 |

Details of grants position at the end of the year

- (i) Cash in Hand/Bank : **Rs. 1,62,826.00**
- (ii) Unadjusted Advances : **Rs. Nil**
- (iii) Total : **Rs. 1,62,826.00**




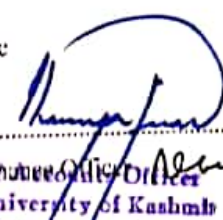
GENERAL FINANCIAL RULES 2017
Ministry of Finance
Department of Expenditure

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned.


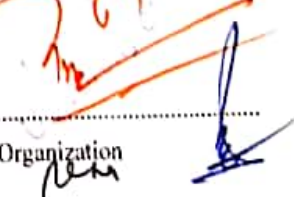
- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing Instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance "**Design and Implementation of novel hybrid Watermarking algorithms, for copyright protections of Cultural Artefacts, with special reference to IPR protections of Kashmiri Folk Songs and Heritage Imagery**" (name of the scheme/Project has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure-I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure-II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure-II (to be formulated by the Ministry/Department concerned as per their requirements /specifications).

Date:

Place: Srinagar

Signature 
Name.....
Chartered Accountant
The University of Kashmir
Srinagar

Dr. Shabin A. Parah
Principal Investigator
DST-SHRI Project
Principal Investigator

Signature 
Name.....
Head of the Organization


Chartered Accountant




GFR 12 – A

[(See Rule 238 (1)]

FORM OF UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE
ORGANIZATIONPROVISIONAL UTILIZATION CERTIFICATE FOR THE PERIOD OF 01-04-2024 TO 30-09-2024 in respect
of non-recurring
GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

- Name of the Project /Scheme : **“Design and Implementation of novel hybrid Watermarking algorithms, for copyright protections of Cultural Artefacts, with special reference to IPR protections of Kashmiri Folk Songs and Heritage Imagery”**
- Whether recurring or non-recurring grants. **Non Recurring**
- Grants position at the beginning of the Financial year
 - Cash in Hand/Bank : **Rs. Nil**
 - Unadjusted advances : **Rs. Nil**
- Total : **Rs. Nil**
- Details of grants received, expenditure incurred and closing balances:(Actual)

| Unspent Balances of Grants received years [figure as at Sl. No. 3 (iii)] | Interest Earned thereon | Interest deposited back to the Government | Grant received during the year | | | Total Available funds (1+2-3+4) | Expenditure incurred | Closing Balances (5-6) |
|--|-------------------------|---|--------------------------------|-----------|--------------|---------------------------------|----------------------|------------------------|
| | | | Sanction No. (i) | Date (ii) | Amount (iii) | | | |
| 1 | 2 | 3 | 4 | | | 5 | 6 | 7 |
| | | | DST/TDT/SHRI-33/2018(G) | - | - | - | - | - |

Component wise utilization of grants:

| Grant-in-aid- General | Grant-in-aid- Salary | Grant-in-aid-creation of capital assets | Total |
|--------------------------|-------------------------|--|-------|
| - | - | - | - |

Details of grants position at the end of the year

- Cash in Hand/Bank : **Rs. Nil**
- Unadjusted Advances : **Rs. Nil**
- Total : **Rs. Nil**



GENERAL FINANCIAL RULES 2017
Ministry of Finance
Department of Expenditure

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

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- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance "**Design and Implementation of novel hybrid Watermarking algorithms, for copyright protections of Cultural Artefacts, with special reference to IPR protections of Kashmiri Folk Songs and Heritage Imagery**" (name of the scheme/Project has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure-I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure-II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure-II (to be formulated by the Ministry/Department concerned as per their requirements /specifications).

Date:
 Place: Srinagar

Signature
 Name.....
 Chief Finance Officer
Chief Accounts Officer
The University of Kashmir
 Srinagar
Dr. Shabir A. Parah
 Principal Investigator
 DST - SHRI Project
 Principal Investigator

Signature
 Name.....
 Head of the Organization

Chartered Accountant

PROVISIONAL STATEMENT OF EXPENDITURE FOR THE PERIOD FROM 01.04.2024 TO 30.09.2024
(Audited)

| S.NO | Sanctioned Heads as per Sanction Order | Funds Allocated (Indicate Sanctioned or revised) (In Rs.) | Funds Received (In Rs.) | Expenditure Incurred | | | | | Total Expenditure (In Rs.) | Balance as on 30th September 2024 (In Rs.) | Remarks |
|------|--|---|-------------------------|---|---|---|---|---|----------------------------|--|---------|
| | | | | 1st Year (2020 To 31st March 2021) (In Rs.) | 2nd Year (1st April 2021 To 31st March 2022) (In Rs.) | 3rd Year (1st April 2022 To 31st March 2023) (In Rs.) | 4th Year (1st April 2023 To 31st March 2024) (In Rs.) | 5th Year (1st April 2024 To 30th September 2024) (In Rs.) | | | |
| 1 | II | III | IV | V | VI | VII | VIII | IX | X=V+VI+VII+VIII+IX | XI=IV-X | XII |
| 1 | Manpower | ₹ 17,10,240.00 | ₹ 10,98,115.00 | ₹ 86,995.00 | ₹ 4,59,600.00 | ₹ - | ₹ 5,51,520.00 | ₹ - | ₹ 10,98,115.00 | ₹ - | |
| 2 | Consumables | ₹ 1,50,000.00 | ₹ 1,00,000.00 | ₹ - | ₹ 35,470.00 | ₹ - | ₹ - | ₹ 43,446.00 | ₹ 93,446.00 | ₹ 6,554.00 | |
| 3 | Travel | ₹ 2,00,000.00 | ₹ 1,21,601.00 | ₹ - | ₹ - | ₹ 48,601.00 | ₹ - | ₹ 25,006.00 | ₹ 73,607.00 | ₹ 49,994.00 | |
| 4 | Contingencies | ₹ 1,50,000.00 | ₹ 99,663.00 | ₹ - | ₹ 33,494.00 | ₹ 16,169.00 | ₹ 26,280.00 | ₹ 19,720.00 | ₹ 95,663.00 | ₹ 4,000.00 | |
| 5 | Others Cost | ₹ 1,75,000.00 | ₹ 99,778.00 | ₹ - | ₹ 24,958.00 | ₹ 24,820.00 | ₹ 6,272.00 | ₹ 16,450.00 | ₹ 72,500.00 | ₹ 27,278.00 | |
| 6 | Procurement Services | ₹ 1,50,000.00 | ₹ 75,000.00 | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ 75,000.00 | |
| 7 | Overheads | ₹ 2,02,820.00 | ₹ 1,28,344.00 | ₹ 60,122.00 | ₹ - | ₹ - | ₹ 68,122.00 | ₹ - | ₹ 1,28,344.00 | ₹ - | |
| 8 | Total | ₹ 27,38,060.00 | ₹ 17,24,401.00 | ₹ 1,47,117.00 | ₹ 5,53,522.00 | ₹ 1,04,120.00 | ₹ 6,52,194.00 | ₹ 1,04,622.00 | ₹ 15,61,575.00 | ₹ 1,62,836.00 | |
| 9 | Equipment | ₹ 29,67,052.00 | ₹ 29,21,095.00 | ₹ - | ₹ 26,97,095.00 | ₹ 2,24,000.00 | ₹ - | ₹ - | ₹ 29,21,095.00 | ₹ - | |
| 9 | Interest | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | ₹ - | |
| | Grand Total | ₹ 57,05,112.00 | ₹ 46,45,496.00 | ₹ 1,47,117.00 | ₹ 32,50,617.00 | ₹ 3,28,120.00 | ₹ 6,52,194.00 | ₹ 1,04,622.00 | ₹ 44,82,670.00 | ₹ 1,62,836.00 | |

Dr. Shahida A. Parah
Principal Investigator
DST, SRRI, Rajahmundry & Seal

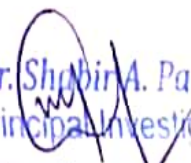
Signature of Competent Financial authority with date and Seal
Chief Financial Officer
The University of Kashmir
Srinagar

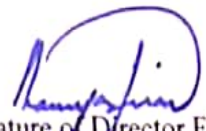
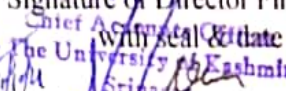
- Note:**
1. Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, without prior approval of DSI, Srinagar.
 2. Utilization Certificate for each financial year ending 31st March has to be enclosed along with request for carry-forward permission to the next financial year.
 3. *Please: Add Debit Budget heads as per sanction order.
 4. Please indicate expenditure in exact rupees only.

**CONSOLIDATED UTILIZATION CERTIFICATE (THREE COPIES)
FOR THE PERIOD FROM 27.10.2021 TO 26.10.2023**

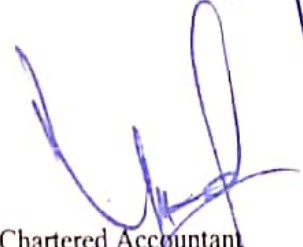

| | | |
|----|--|---|
| 1 | Title of the project/Scheme | "Development of Lightweight Security algorithms for IOMT based smart health applications" |
| 2 | Name of the Institution | Department of Electronic & IT, University Of Kashmir, Srinagar. |
| 3 | Principal Investigator | Dr.Shabir Ahmad Parah Department of Electronic & IT ,University Of Kashmir, Srinagar. |
| 4 | Co-Investigator | Dr. Bilal Ahmad Malik |
| 5 | No.& date Sanctioning the project (JKST&IC Correspondence) | No. JKST&IC ORDER NO 81 OF 2021 Dated 27-10-2021 |
| 6 | Head of the accounts as given in the Original sanction letter | Recurring & Non Recurring |
| 7 | Amount brought forward from the previous financial year quoting Jk Science & Technology letter No and date in which the authority to carry forward the said amount was given | Rs. Nil |
| 8 | Amount received during the financial year (please give No & Date of J&K ST&IC letter for the amount) | Rs. 5,79,000.00 No.JKST&IC ORDER NO 81 OF 2021 Dated 27-10-2021 JKST&IC Order No.20 OF 2022 Dated 09.02.2022 JKST&IC Order No.53 OF 2022 Dated 15.03.2022 JKSTIC/75/2021/138-41 Dated 12.08.2022 JKST&IC/J/75/2020/458-60 Dated 22.02.2023 |
| 9 | Other receipts /Interest earned | Rs. 7,006.00 |
| 10 | Total amount that was available for Expenditure (excluding commitments) during the Period (S No 7+8) | Rs. 5,86,006.00 |
| 11 | Actual expenditure (excluding Commitments) during the the Period | Rs. 5,30,397.00 |
| 12 | Balance amount available at the end of the Period | Rs. 55,609.00 |
| 13 | Unspent balance refunded if any (Please Give details of cheque Number etc) | Rs. Nil |
| 14 | Unspent balance at the end of the project. | Rs. 55,609.00 |

Certified that out of Rs. 5,79,000.00 (Rupees Five Lakh Seventy Nine Thousand Only) of grants sanctioned during the period for carrying out short term research on the topic "Development of Lightweight Security algorithms for IOMT based smart health applications" vide order no: and sanction letter No. JKST&IC ORDER NO 81 OF 2021 Dated 27-10-2021 of JK Science, Technology and Innovation Council, and Rs.7,006.00 earned/accrued as interest from bank during the period, a sum of Rs. 5,30,397.00 has been utilized for the period for which it was sanctioned. And amount of Rs. 55,609.00 out of the funds allotted for the period is lying unspent in the bank account at the end of the project.


Dr. Shabir A. Parah
Principal Investigator
Signature of PI
With seal & date


Signature of Director Finance
with seal & date



Dean Research
University of Kashmir
Signature of Dean Research
with seal & date



Signature of Chartered Accountant
Seal & Date


Consolidated Statement of Expenditure
 Showing grants received from the JK Science Technology & Innovation Council and expenditure incurred
 For the period from 27.10.2021 TO 26.10.2023

| S.No | Item | Unspent balance Carried forward from previous year | Grants received from JKST&IC during the year | Other receipts /Interest earned | Total of Col. (3+4) | Expenditure (excluding Commitments) Incurred during the year | Balance (6-7) | Remarks |
|------|--|--|--|---------------------------------|----------------------|--|--------------------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 1 | Consumables | ₹ 0.00 | ₹ 0.00 | | ₹ 0.00 | ₹ 0.00 | ₹ 0.00 | |
| 2 | Minor Equipment | ₹ 0.00 | ₹ 2,49,000.00 | | ₹ 2,49,000.00 | ₹ 2,41,590.00 | ₹ 7,410.00 | |
| 3 | Travel for attending Participating in Conferences Workshop | ₹ 0.00 | ₹ 40,000.00 | | ₹ 40,000.00 | ₹ 39,816.00 | ₹ 184.00 | |
| 4 | Manpower | ₹ 0.00 | ₹ 2,40,000.00 | | ₹ 2,40,000.00 | ₹ 2,02,258.00 | ₹ 37,742.00 | |
| 5 | Others Contingency | ₹ 0.00 | ₹ 50,000.00 | | ₹ 50,000.00 | ₹ 46,733.00 | ₹ 3,267.00 | |
| 6 | Overhead | ₹ 0.00 | ₹ 0.00 | | ₹ 0.00 | ₹ 0.00 | ₹ 0.00 | |
| 7 | Interest earned accrued | ₹ 0.00 | ₹ 0.00 | ₹ 7,006.00 | ₹ 7,006.00 | ₹ 0.00 | ₹ 7,006.00 | |
| | Total | ₹ 0.00 | ₹ 5,79,000.00 | ₹ 7,006.00 | ₹ 5,86,006.00 | ₹ 5,30,397.00 | ₹ 55,609.00 | |

Note: An amount of Rs.51,925/- on account of committed Expenditure/liability as on 26.10.2023. Whereas Expenditure has been made before 26.10.2023.

Dr. Stabina Parah
 Principal Investigator
 (PROJECT INVESTIGATOR)
 (with Seal and date)

Dr. Stabina Parah
 (DEAN RESEARCH)
 (with Seal and date)

Dr. Stabina Parah
 DIRECTOR FINANCE
 (with Seal and date)
 The University of Kashmir
 Srinagar

Dr. Stabina Parah
 CHARTERED ACCOUNTANT
 (with Seal and date)



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[[Sec Rule 238 (1)]]

FORM OF UTILIZATION CERTIFICATE (UC)
UTILIZATION CERTIFICATE FOR THE YEAR 01.04.2023 to 30.09.2023 in respect of Recurring
GRANTS-IN-AID/SALARIES/General Component/Recurring

1. Name of the Scheme : Internet of Things (IoT) Research of ICPS of DST
Title of the Project : Design and Development of Energy Efficient Millimeter-wave Antennas for 5G Networks and IoT.
2. Whether recurring or non-recurring grants : Recurring (General Component)
3. Grants position of the beginning of the financial year [unspent balance of last financial year if any]
 - i) Cash in Hand/Bank : Rs. 1,87,116.00
 - ii) Unadjusted advances : Rs. Nil
 - iii) Total : Rs. 1,87,116.00

4. Details of grants received, expenditure incurred and closing balance; (Actuals)

| Unspent Balances of Grants received years (figure as at Sl. No. 3 (iii)) | Interest Earned thereon | Interest deposited back to the Government | Grant received during the year | | | Total available funds (1+2-3+4) | Expenditure incurred | Closing Balances (5-6) |
|--|-------------------------|---|-------------------------------------|-----------|--------------|---------------------------------|----------------------|------------------------|
| | | | Sanction no. (i) | Date (ii) | Amount (iii) | | | |
| 1 | 2 | 3 | 4 | | | 5 | 6 | 7 |
| 1,87,116.00 | 2,775.00 | - | DST/ICPS/C LUSTER/IoT /2018/General | - | - | 1,89,891.00 | 40,652.00 | 1,49,239.00 |

Component wise utilization of grants:

| Grant-in-aid-General | Grant-in-aid-Salary | Grant-in-aid-creation of capital assets | Total |
|----------------------|---------------------|---|-----------|
| 40,652.00 | - | - | 40,652.00 |

Details of grants position at the end of the year

- (v) Cash in Hand/Bank: Rs. 1,49,239.00
- (vi) Unadjusted Advances: Rs. Nil
- (vii) Total: Rs. 1,49,239.00

Signature
Name: [Signature]
Chief Finance Officer
(Head of the Finance)

Signature of
Name: Dr. Javid Ahmad Sheikh
Name of PI

Dr. Sheikh Javid Ahmad
Principal Investigator
DST-ICPS, GOI

Signature
Name: [Signature]
Head of the Organization

Signature of
Chartered Accountant



GFR 12-A

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing Instructions (mention the act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under Design and Development of Energy Efficient Millimeter-wave Antennas for 5G Networks and IoT (name of the scheme) has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure-I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure-II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry, or from other Ministries is enclosed at Annexure-II (to be formulated by the Ministry/Department concerned as per their requirements/specifications)

Date:

Place: Srinagar

Signature
Name
Director Finance
(Head of the Finance)

Signature of
Name
Name of PI
Dr. Sheikh Javaid Ahmad
Principal Investigator
DST-ICPS, GOI

Signature
Name
Head of the Organization

Signature of
Chartered Accountant



STATEMENT OF EXPENDITURE(S/E) FOR GENERAL COMPONENT
(For the the period from 01.04.2023 to 30.09.2023 Financial year 2023-24)

| | | |
|--|----|---------------------------------------|
| Sanction Letter No. DST/ICPS/CLUSTER/ToT/2018/General | 6. | Grant Received in each year: |
| Total Project Cost Rs. 32,58,000.00 | a. | 1 st year Rs. 10,49,970.00 |
| Sanctioned/Revised project cost (If applicable) Rs. NA | b. | 2 nd year Rs. 10,50,000.00 |
| 1. Date of Commencement of Project : 27.05.2019 | c. | 3 rd Year Rs. NA |
| 5. Statement of Expenditure | d. | Interest Rs.51,211.00 |
| | e. | Total Rs. 21,51,181.00 |

| HEAD OF EXPENDITURE AS PER SANCTION ORDER | AMOUNT ALLOCATED/ SANCTIONED | EXPENDITURE INCURRED | | | | | TOTAL EXPENDITURE | BALANCE |
|---|------------------------------|--|--|--|--|--|-------------------|----------|
| | | 1 st YEAR FROM 27.05.2019 TO MARCH 31, 2020 | 2 nd YEAR FROM APRIL 01, 2020 TO MARCH 31, 2021 | 3 rd YEAR FROM APRIL 01, 2021 TO MARCH 31, 2022 | 4 th YEAR FROM APRIL 01, 2022 TO MARCH 31, 2023 | 5 th YEAR FROM APRIL 01, 2023 TO SEPTEMBER 30, 2023 | | |
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix) |
| 1. Manpower | 18,00,000 | 6,55,644 | 2,25,000 | 8,04,031 | 1,10,000 | - | 17,94,675 | 5,325 |
| 2. Consumable | 99,970 | 8,122 | 6,120 | 29,658 | 2,596 | - | 46,496 | 53,474 |
| 3. Contingencies | 1,00,000 | 18,000 | 31,870 | 38,078 | - | 5,984 | 93,932 | 6,068 |
| 4. Travel | 1,00,000 | - | 32,171 | - | - | 34,668 | 66,839 | 33,161 |
| 5. Other Cost | - | - | - | - | - | - | - | - |
| 6. Overheads | - | - | - | - | - | - | - | - |
| 7. Contribution | - | - | - | - | - | - | - | - |
| 8. Interest earned/accrued | - | - | - | - | - | - | - | 51,211 |
| 9. Total | 20,99,970 | 6,81,766 | 2,95,161 | 8,71,767 | 1,12,596 | 40,652 | 20,01,942 | 1,49,239 |

Funds released so far:

Rs. 20,99,970.00

Date of start of project: 27.05.2019
 Date of Completion: 30.09.2023

Signature of
Dr. Sheikh Javaid Ahm.
 Principal Investigator
 DST-ICPS, GO

Signature
 Director Finance

* or till date of completion

Note:

- Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, with out prior approval of DST i.e. Figures in Column (vii) should not exceed corresponding figures in Column (iii)
- Utilization Certificate for each financial year ending 31st March has to be enclosed, along with request for carry-forward permission to next year.

Signature and Seal of Head
 of the organization/Institute

Signature & Seal of
 Chartered Accountant



GFR 12-A
 [(See Rule 238 (1))]

FORM OF UTILIZATION CERTIFICATE (UC)
UTILIZATION CERTIFICATE FOR THE YEAR 01.04.2023 to 30.09.2023 in respect of non recurring Grant-in-aid-creation of capital assets /non recurring

- Name of the Scheme : **Internet of Things (IoT) Research of ICPS of DST**
 Title of the Project : **Design and Development of Energy Efficient Millimeter-wave Antennas for 5G Networks and IoT.**
- Whether recurring or non-recurring grants : **Non Recurring (Capital Assets)**
- Grants position of the beginning of the financial year [unspent balance of last financial year if any]
 - Cash in Hand/Bank : **Rs. 5,331.00**
 - Unadjusted advances : **Rs. Nil**
 - Total : **Rs. 5,331.00**

4.Details of grants received, expenditure incurred and closing balances: (Actuals)

| Unspent Balances of Grants received years (figure as at Sl No. 3 (ii)) | Interest Earned thereon | Interest deposited back to the Government | Grant received during the year | | | Total available funds (1+2-3+4) | Expenditure incurred | Closing Balances (5-6) |
|--|-------------------------|---|--------------------------------------|-----------|--------------|---------------------------------|----------------------|------------------------|
| | | | Sanction no (i) | Date (ii) | Amount (iii) | | | |
| 1 | 2 | 3 | 4 | | | 5 | 6 | 7 |
| 5,331.00 | - | - | DST/ICPS/ CLUSTER/ IoT/2018/ Capital | - | - | 5,331.00 | - | 5,331.00 |

Component wise utilization of grants:

| Grant-in-aid-General | Grant-in-aid-Salary | Grant-in-aid-creation of capital assets | Total |
|----------------------|---------------------|---|-------|
| - | - | - | - |

Details of grants position at the end of the year

- Cash in Hand/Bank: **Rs. 5,331.00**
- Unadjusted Advances: **Rs. Nil**
- Total: **Rs. 5,331.00**

Signature
 Name
 Chief Finance Officer
 (Head of the Finance)

Signature of
 Name of PI

Dr. Sheikh Javaid A.
 Principal Investigator
 DST-ICPS, GC

Signature
 Name
 Head of the Organization

Signature of
 Chartered Accountant



GFR 12-A

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing Instructions (mention the act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under Design and Development of Energy Efficient Millimeter-wave Antennas for 5G Networks and IoT (name of the scheme) has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure-I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure-II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries is enclosed at Annexure-II (to be formulated by the Ministry/Department concerned as per their requirements/specifications)

Date:

Place: Srinagar

Signature
Name: [Signature]
Director of Finance
(Head of the Finance)

4/1/24

Signature of
Name: [Signature]
Name: Dr. Sheikh Javed Ahmad
Principal Investigator
DST-ICPS G



Signature
Name: [Signature]
Head of the Organization

4/1/24

Signature of
Chartered Accountant



STATEMENT OF EXPENDITURE(SE) FOR CAPITAL ASSEST
(For the the period from 01.04.2023 to 30.09.2023 Financial year 2023-24)

| | | | |
|----|--|----|---------------------------------------|
| 1. | Sanction Letter No DST/ICPS/CLUSTER/ToT/2018/Capital | 6. | Grant Received in each year: |
| 2. | Total Project Cost Rs. 30,25,000.00 | a. | 1 st year Rs. 30,25,000.00 |
| 3. | Sanctioned/Revised project cost (If applicable) Rs. NA | b. | 2 nd year Rs. NA |
| 4. | Date of Commencement of Project : 27.05.2019 | c. | 3 rd Year Rs. NA |
| 5. | Statement of Expenditure | d. | Interest. Rs. 3,331.00 |
| | | e. | Total Rs. 30,28,331.00 |

| SI NO. | HEAD OF EXPENDITURE AS PER SANCTION ORDER | AMOUNT ALLOCATED/ SANCTIONED | EXPENDITURE INCURRED | | | | | TOTAL EXPENDITURE | BALANCE |
|--------|---|------------------------------|---|--|--|--|--|-------------------|---------|
| | | | 1 st YEAR DOS*27.05.2019 TO MARCH 31, 2020 | 2 nd YEAR FROM APRIL 01, 2020 TO MARCH 31, 2021 | 3 rd YEAR FROM APRIL 01, 2021 TO MARCH 31, 2022 | 4 th YEAR FROM APRIL 01, 2022 TO MARCH 31, 2023 | 5 th YEAR FROM APRIL 01, 2023 TO SEPTEMBER 30, 2023 | | |
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix)=(iii)-(viii) | |
| 1. | Equipment | 30,25,000 | - | - | - | 30,23,000 | 30,23,000 | 2,000 | |
| 2. | Interest earned/accrued | - | - | - | - | - | - | 3,331 | |
| 3. | Total | 30,25,000 | - | - | - | 30,23,000 | - | 5,331 | |

Funds released so far:

Rs. 30,25,000.00

Date of start of project:

27.05.2019

Date of Completion:

30.09.2023

Signature of Dr. Saad Ahmad

Principal Investigator

Signature & Seal of Director Finance

* or till date of completion

Note:

- Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, without prior approval of DST i.e. Figures in Column (vii) should not exceed corresponding figures in Column (iii)
- Utilization Certificate for each financial year ending 31st March has to be enclosed, along with request for carry-forward permission to next year.

Signature and Seal of Head of the organization Institute

Signature & Seal of Chartered Accountant



KU2021 - AUD - 498



UNIVERSITY OF KASHMIR INTERNAL AUDIT UNIT

No: F (Audit-L Funds) KU/21

Dated: 23-11-2021

The Head,
Department of Electronics
University of Kashmir,
Srinagar.

Subject: Internal Audit on the Local Funds of the department for the period
1st April, 2018 - 31st March, 2021 (3years)

Sir,

While examining the information furnished by the department about the local funds and other allied records it was observed as under:-

i. Non-adherence of regulations governing Local Funds

As per the regulations governing the local funds, the department was/ is required to maintain a separate account in respect of each local fund including the consolidated local fund in the ledger. The department has ignored the regulations and has not maintained the component-wise details about the local funds despite earlier instructions. This is a serious issue and needs to be justified.

ii. Maintenance of Stock Registers

The University had prescribed new Stocks Registers for all kinds of stocks including assets viz. equipments, computers, furnishing & furniture etc from 2008. Though the department has maintained the said Stock Register, yet some items have not been entered in these stock registers. Therefore, the Stock Registers maintained by the department do not reveal the true & correct position of the assets/ articles. This unhealthy practice needs to be stopped forthwith and Stock Register/s maintained properly.

iii. Non-Remittance of prescribed share of revenue/closing balance to the University Chest

As per the recommendations of the Financial Reforms Committee, notified vide order No. F(Financial Reforms)Bgt/KU/16 dated 05-03-2016, issued by the Joint Registrar,

Handwritten notes:
Amir
10/11/2021
10/11/2021
10/11/2021
10/11/2021
10/11/2021
10/11/2021

Handwritten signature:
Mr. Raj Paul
10/11/2021

Budget and Creation each department was/is required to transfer the following amount annually to the University Chest for the purpose indicated against each:-

1. Each Department /Centre shall transfer 10% of the revenues generated annually to University Chest as 'User Charges' towards Electric Tariff.
2. At the end of Financial Year, the departments shall transfer 10% of the closing balance to the "University's Consolidated Fund Account" and additional 5% to "Other Department Development Fund" which shall be utilized towards infrastructure development of such teaching departments which do not generate sufficient funds internally.

The Department has not remitted the prescribed amount despite the detailed instructions issued by the Registrar on 11-05-2019 and the letter issued by this office dated 27-01-2020. This amounts to non-compliance of orders and financial irregularity. The issue, therefore, needs to be justified and in the meantime amount transferred to the concerned quarters, under an intimation to the Internal Audit Unit.

iv. Annual Physical Verification of Stores/Stocks

As per rules physical verification of all kinds of stocks/stores is to be conducted annually and the report thereof submitted by May, 31st every year. The Department did not conduct the activity so far despite earlier instructions. The activity needs to be conducted immediately and report submitted to the concerned quarters at the earliest under an intimation to the Internal Audit Unit.

For the convenience of the department, the proforma prescribed by the University for the purpose is given below:

| S.No | Name of the Item | Ground Balance | Book Balance | Excess | Shortage | Remarks |
|------|------------------|----------------|--------------|--------|----------|---------|
| | | | | | | |

The General principles relating to the activity are recorded below:-

- a. Verification must always be made in the presence of the Government servant responsible for the custody of the stores or of a responsible person deputed by him;
- b. All discrepancies noticed must be properly investigated and brought to account immediately, so that the stores account may represent the true state of the stores; and
- c. Shortages and damages, as well as, unserviceable stores must be reported immediately to the authority competent to write off the loss.

DISCREPANCIES FOUND ON VERIFICATION OF STORES

A deficiency detected during a verification of stores may be due to:

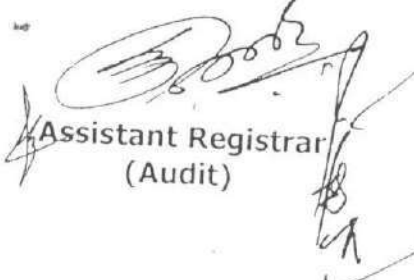
- 1) Incorrect or careless accounting,
- 2) Loss arising from fraud, theft or negligence, or
- 3) An unavoidable cause, e.g., wastage, shrinkage and spilling in the case of stores which are subject to them.

The head of the office or institution concerned should fully investigate the cause of any deficiency and send a full report on it to the Controlling Authority along with the verification report. If he holds that any loss caused to the Government through a deficiency is due to misconduct or culpable negligence on the part of any Government servant concerned, he should add his recommendation as to how the loss should be made good by recoveries from them. The Controlling Authority should, after such examination and investigation as the importance of the case warrants, issue or obtain from the Competent Authority an order to write off the deficiency from the stock accounts. On receipt of this order the deficiency should be charged in the stock accounts with a note quoting the authority. If any recovery is ordered, a note should be recorded in the stock accounts when each amount is actually recovered.

Any excess detected during stock-taking should, after investigation, be entered in the stock accounts at once as a receipt with the remark "excess found on stock verification". No special orders are necessary for this."

The above observations need to be addressed on priority and Action Taken Report submitted to the Internal Audit Unit within 15 days.

Yours faithfully,


Assistant Registrar
(Audit)

Copy to:

01. P.A to Registrar for kind information of the Registrar;
02. File.